



Japan Display Inc.

Japan Display Inc. Group  
Conflict Minerals Program

Sustainability Department

## **【The Dodd-Frank Act】**

Section 1502 of the Dodd-Frank Wall Street Reform and Consumer Protection Act (the “Dodd-Frank Act”) addressed concerns that proceeds from the trade and exploitation of certain minerals originating in several central African countries were helping to finance extraordinary violence in the Democratic Republic of the Congo (the “DRC”). Congress hoped that these rules would help bring an end of that violence.

(<https://media.squirepattonboggs.com/pdf/compliance/Summary-of-Conflict-Minerals-Rule.pdf>)

## **【U.S. SECURITIES AND EXCHANGE COMMISSION (SEC)】**

The SEC has adopted final rules for 67 mandatory rulemaking provisions of the Dodd-Frank Act in 2012.

The final rule applies to a company that uses minerals including tantalum, tin, gold or tungsten if:

- The company files reports with the SEC under the Exchange Act.
- The minerals are “necessary to the functionality or production” of a product manufactured or contracted to be manufactured by the company.

The final rule requires a company to provide the disclosure on a new form to be filed with the SEC.

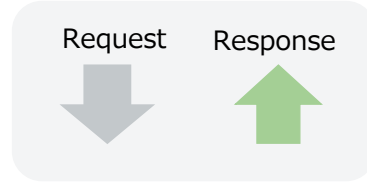
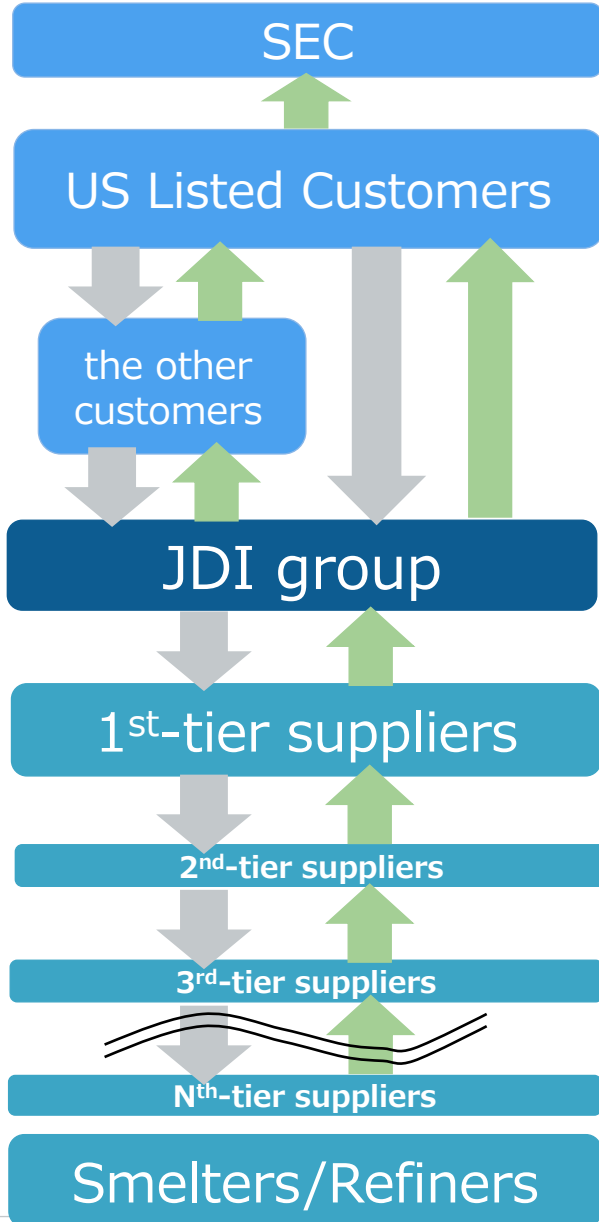
(<https://www.sec.gov/opa/Article/2012-2012-163htm---related-materials.html>)

## **【RMI (Responsible Minerals Initiative)】**

Responsible Minerals Initiative (RMI) is a coalition which was founded in 2008 by members of the Responsible Business Alliance (RBA) and the Global e-Sustainability Initiative (GeSI), and they are leading companies from a range of industries addressing responsible 3TG challenges in their supply chains.

RMI constructed Responsible Minerals Assurance Process (RMAP), and they offer resources of conformant smelters/refiners list and Conflict Minerals Reporting Template (CMRT), which helps companies disclose and communicate about smelters in their supply chains. (<https://www.responsiblemineralsinitiative.org/about/rmi-initiative/>)

# Conflict Minerals Reporting Template: Process Flow



## 【Submission to SEC】

The customers which are US listed companies have to submit the report.

## 【Report Flow in Supply Chain】

Suppliers ask and answer questions using CMRT according supply chain from upstream suppliers to downstream suppliers until smelters identified.

## 【Main subjects on CMRT】

- (1) Is any 3TG intentionally added or used in the product(s) or in the production process?  
(3TG: Tantalum, Tin, Tungsten and Gold)
- (2) Do any of the smelters in your supply chain source the 3TG from the covered countries?
- (3) Have you identified all of the smelters supplying the 3TG to your supply chain?
- (4) Required information : Smelter List, Products List

(Note) CMRT: Conflict Minerals Reporting Template

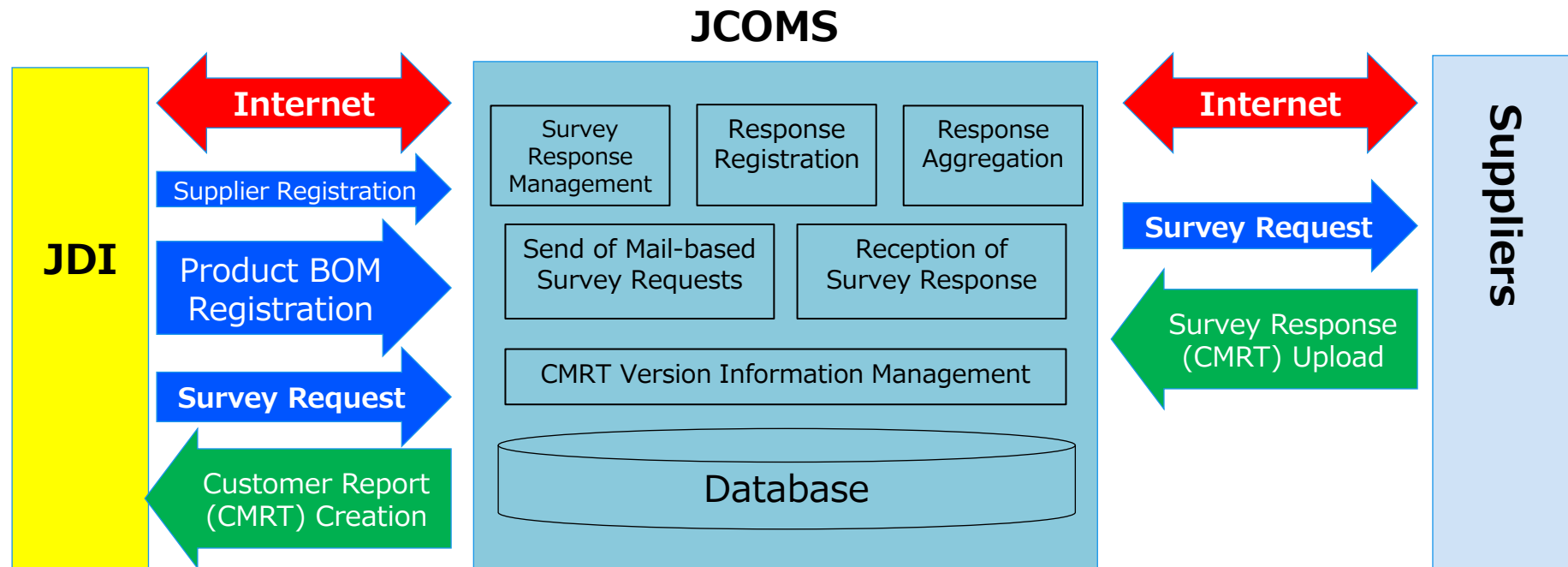
In response to customer requests to conduct surveys for SEC reporting under U.S. laws and regulations, JDI conducts surveys as a member of the supply chain and works to eliminate the use of conflict minerals from a humanitarian perspective.

Specifically, in accordance with guidelines such as the OECD Guidance and JEITA initiatives, we use a tool (CMRT: Conflict Minerals Reporting Template) created and standardized by RMI to conduct surveys on the use of conflict minerals and the identification of smelters and refiners. In addition, within our supply chain, JDI requires our business partners to make efforts to eliminate the use of conflict minerals based on the results of the survey. JDI is committed to fulfilling our corporate social responsibility by working with our business partners to promote the non-use of conflict minerals.

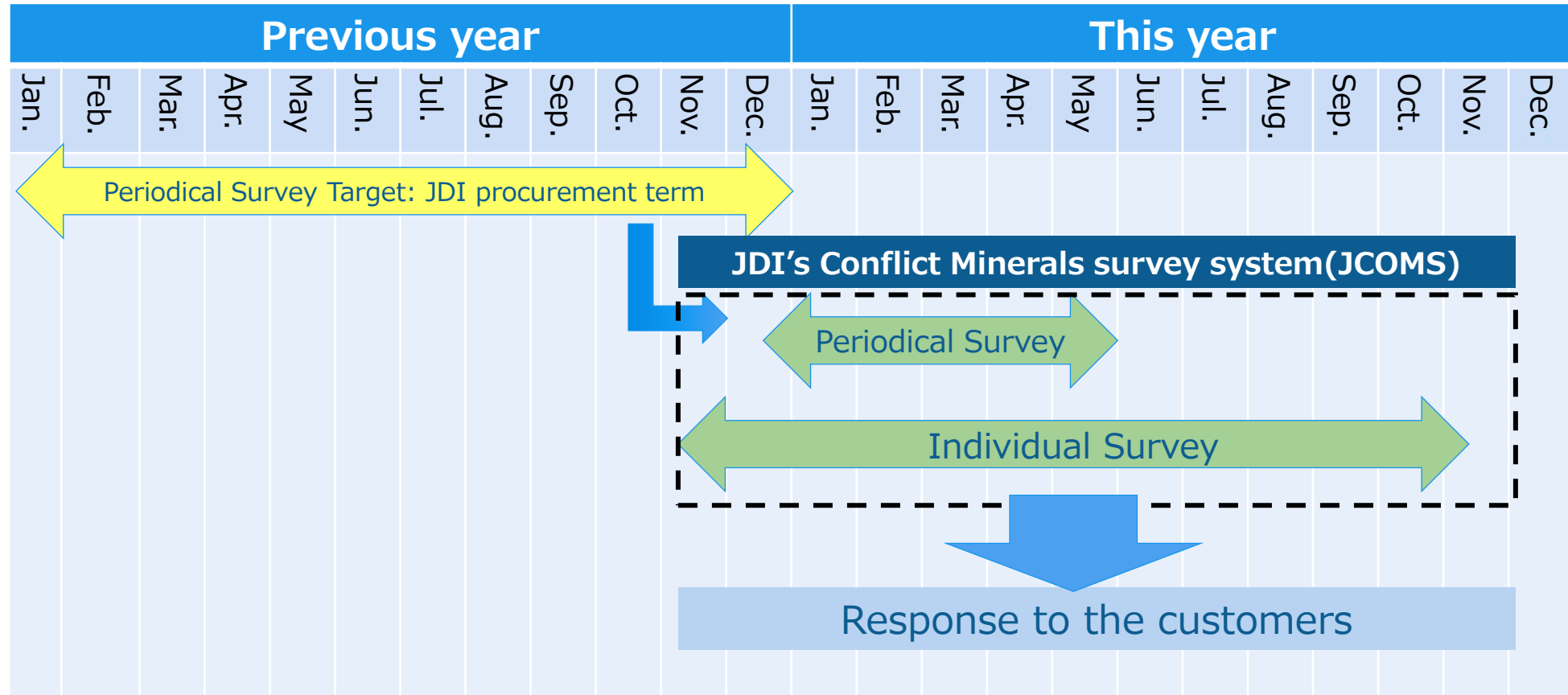
# JDI's Conflict Minerals survey system (JCOMS)

JDI uses JDI's Conflict Minerals survey system (JCOMS) to conduct Conflict Minerals survey. JCOMS manages to send and receive CMRT via internet between JDI and suppliers.

\* JCOMS (Japan display COntlict MInerals SSurvey system)



# JDI Conflict Minerals survey schedule (Term and Target)



Periodical Survey Target: parts for mass-production products purchased in previous year

Individual Survey Target: (1) parts for new mass-production products after periodical survey  
 (2) parts for products which customer require the survey individually  
 (ex. Maintenance products)



Japan Display Inc.

Thank you for your understanding and cooperation to JDI's Conflict Minerals Program.

For inquiries and questions, please contact the following address:

[jdi.csr-conflictminerals.zz@j-display.com](mailto:jdi.csr-conflictminerals.zz@j-display.com)

Japan Display Inc.  
Sustainability Department



# PersonalTech For A Better World